

**The Regulation of Galaxy Growth  
along the Size-Mass Relation  
by Star Formation,  
as Traced by H $\alpha$  in KMOS3D Galaxies  
at  $0.7 \lesssim z \lesssim 2.7$**

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# Abstract

Half-light size measured by H $\alpha$  emission for 281 star-forming galaxies

- Ha-size = 1.19(median) x (stellar-continuum-size) with just ~43% scatter
- No residual trend with stellar mass, SFR, redshift, or morphology
- The only residual trend is with the excess obscuration of H $\alpha$  by dust

Scatter in continuum size at a fixed  $M^*$  ← scatter in halo spin parameters

→ Stability of the ratio of H $\alpha$  size to continuum size demonstrates stability in

- Halo spin
- The transfer of angular momentum to the disk

→ Require local regulation by feedback process

Implication demonstrated by a toy model

- Upper limit on star-formation driven growth is sufficient to evolve *along* size-mass relation
- Require other processes (preferential quenching of compact galaxies or mergers) to explain observed evolution of the size-mass relation of star-forming disk galaxies

# 1. Introduction

## Structure of Galaxies

### Disk

- Stable, rotationally supported structure
- Described by a declining exponential function
- Not only in the stellar component, but also in the gas
- Exist to high- $z$ , at least  $z \sim 3$  (Turner+2017), dominant among high-mass population by  $z \sim 2.2$  (Wisnioski+2015), common in the most compact and passively evolving old galaxies (many references)

### Bulge

- Dispersion dominated
- Formed by violent star formation at the center or by merger events

# 1. Introduction

## Relation of components

### In local universe ...

- Star-formation surface density and molecular gas surface density (Bigiel+2008)
- Local density of star-formation and that of stars (González Delgado+2016)
- Size of star-forming disk ~ size of stellar disk (Fossati+2013)

### Kinematically ...,

- Mean specific angular momentum of disk ~ that of their halo (Burkert+2016)
- Distribution of angular momentum in typical galaxy disk is in narrower range than expected from accreted halo gas (e.g. Dutton+2009)
  - High angular momentum material exist at large radii and can't form new stars
  - Low angular momentum material can be removed by energetic SNe-driven winds

### In high redshift ...,

- Main Sequence ( $0 < z < 3$ ) and Resolved Main Sequence (to at least  $z \sim 1$ ; Wuyts+2013)
- Half-light size in the H $\alpha$  emission  $\gtrsim$  size in continuum light (Nelson+2012; individual highly SFGs, Nelson+2016a; stacked average for normally SFGs)
- Molecular gas disk size is also similar to the stellar and star-forming disks (Tacconi+2013) (In the highly SFGs, compact dust emission and extended CO emission; Calistro Rivera+2018)

# 1. Introduction

## Aim of this paper

In this paper ...

- Use KMOS<sup>3D</sup> data to map H $\alpha$  and measure H $\alpha$  disk sizes in individual SFG
  - Whether the stacked result of Nelson+2016a apply for individual galaxies
  - Whether size growth via star-formation is correlated with the stellar mass and SFR, etc.

Strength of KMOS<sup>3D</sup> data compared to previous studies

- Deeper integration
- Spectral resolution enough to resolve H $\alpha$ + [NII] emission line complex
- H $\alpha$  emission over a larger redshift range

Key question: How galaxies grow in size through star-formation ?

# 2. The KMOS<sup>3D</sup> Survey

## KMOS<sup>3D</sup>

- H $\alpha$ + [NII] emission line complex in galaxies at  $0.7 < z < 2.7$
- 24 IFUs with 2.8" x 2.8"
- Targets were selected from 3D-HST and CANDELS (COSMOS, GOODS-S, UDS)
- Selection with Ks-mag < 23 and known spec/grism-z
- ~ 3- 30 hours integration for each galaxy

## In this work ...

- 645 galaxies taken up until April 2017
- PSF minor axis FWHM: 0.3" – 0.92", median of 0.456"
- SFR used in this paper are computed from IR, UV and optical observations (Wuyts+2011)

# 3. Data Reduction

## 3.1. Basic Reduction

Identical to Wisnioski+2019 using SPARK code

Exception: Background subtraction & astrometry

- Bad pixel mask
- Flattening at the detector level
- Reconstruction of data cubes  
including a wavelength calibration with sky lines and a heliocentric correction
- Correction for the spatial illumination uniformity
- Flux calibration with standard star observation
- Sky lines subtraction using an adjacent sky frame

Essential to subtract a residual background level per frame due to significant variation in instrumental, sky and thermal background between object & sky

→ A factor of 3 reduction in continuum S/N in final co-adds

→ Derive and subtract a background value for each of the readout channels of the detectors  
(Overestimate background value for bright sources)

# 3. Data Reduction

## 3.2. Astrometric Registration, Improved Background Subtraction and Generation of Combined Cubes

### Preparation of cubes

- Partial combined cubes: Combined within a given observing setup
- Bootstrap cubes: Propagation of uncertainty

### Obtain a flat background and astrometric shift

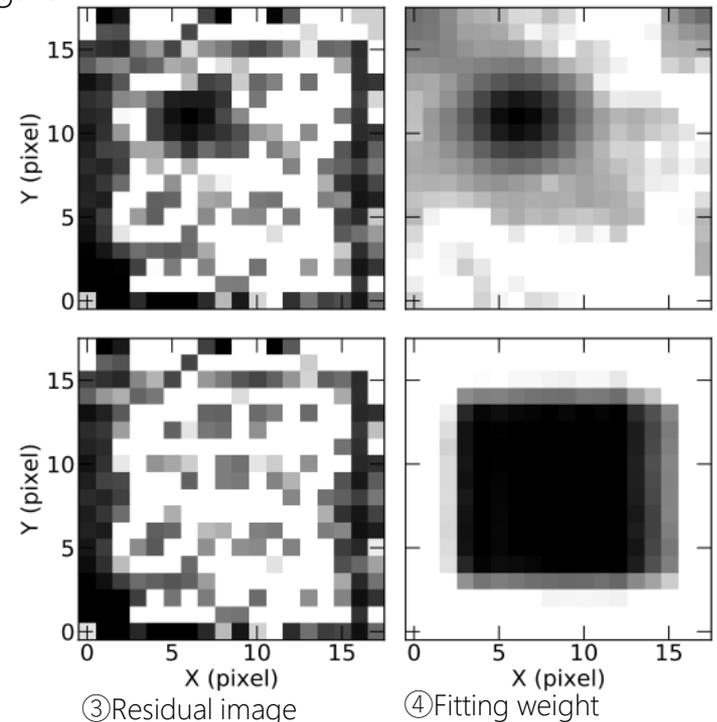
1. Make ① of Partial-cubes and ② (Fig. 1.)
2. Fitting ① to ② with parameters of ...
  - Astrometric shift
  - Normalizing flux scale factor
  - Additive background correction per RO ch

→ median residual shift: 1.33 KMOS pix ( $\sim 0.27''$ )

→ Total-combined cubes and Bootstrap cubes

Fitting Total-cubes to HST-image in order to correct absolute astrometry (the same procedure as above) (Some with a manual shift)

Fig. 1. ①KMOS cont. image ②PSF convolved & resampled CANDELS image



# 4. Generation of Maps and Profiles

IDL-based emission-line fitting software: KUBEVIZ (e.g. Fumagalli+2014, Fossati+2016)

## 4.1.1. Kinematic Fits

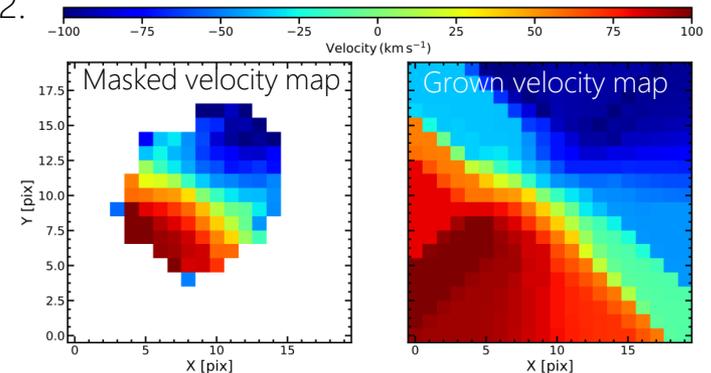
- Using flux, noise and bootstrap cubes *median smoothed* along spatial axes
  - Assume continuum underlying H $\alpha$ + [NII] as constant
    - Inverse-variance weighted average value around H $\alpha$
  - Fitting a single Gaussian; All lines share velocity and dispersion, Fixed [NII] ratio (3.071)
- 2D map of line flux, velocity, dispersion
- Bootstrap cubes → Probability maps  $P_{f_{H\alpha}>0}$  (detection significance),  $P_{\sigma>0}$  (resolution significance)

$$\text{Continuum subtracted Data Continuum} \\ CS_{x,y,\lambda} = F_{x,y,\lambda} - C_{x,y}$$

## 4.1.2. Masking

- ( $f_{H\alpha} > 0$ ) & ( $0 < \sigma < 250 \text{ km s}^{-1}$ )  
& ( $P_{f_{H\alpha}>0} \geq 0.95$ ) & ( $P_{\sigma>0} \geq 0.9$ )
- $3\sigma$  clipping & remove isolated unmasked spaxels
- Smoothing with a 3x3 top-hat filter
- Remove galaxies with  $< 3$  valid spaxel & visual check  
→ Leaving 455 galaxies
- Grown velocity maps  $dv_{rest}(x, y)$  (average value of their neighbors)

Fig. 2.



# 4. Generation of Maps and Profiles

## 4.1.3. Deep emission line flux maps

- Using *unsmoothed* cube
- Narrow-band extraction:  $\lambda_{cen}(x, y) = \lambda_{H\alpha}(1 + dv_{rest}(x, y)/c) \times (1 + z)$   
with window width  $\pm 200$  km/s

$$\rightarrow f_{H\alpha, WIN}(x, y) = \Delta\lambda \cdot \sum_{\lambda_{upper}(x, y)}^{\lambda_{lower}(x, y)} CS_{x, y, \lambda}$$

## Correction for flux outside the narrow-band width

- Mask to define region with reliable velocity and dispersion  
 $\rightarrow (f_{H\alpha} > 0) \& (0 < \sigma < \sigma_{max}) \& (P_{f_{H\alpha} > 0} \geq 0.95) \& (P_{\sigma > 0} \geq 0.9)$   
 $\sigma_{max} = 1000$  km/s ( $S/N_{H\alpha} > 4$ ),  $400$  km/s ( $S/N_{H\alpha} < 4$ )

$$\rightarrow \text{With the dispersion measured by fitting, } f_{H\alpha, WINcor}(x, y) = f_{H\alpha, WIN}(x, y) / c_{\sigma 200}$$

(For spaxel defined as "bad" in the mask, they don't have reliable data to make correction, but these region are outer, low surface brightness and low dispersion  $< 100$  km/s)

$\rightarrow$  H $\alpha$  flux map or image

# 4. Generation of Maps and Profiles

## 4.2. Image Fitting in 2D

Image fitting code: IMFIT (Erwin2015)

### Continuum with Sersic profile

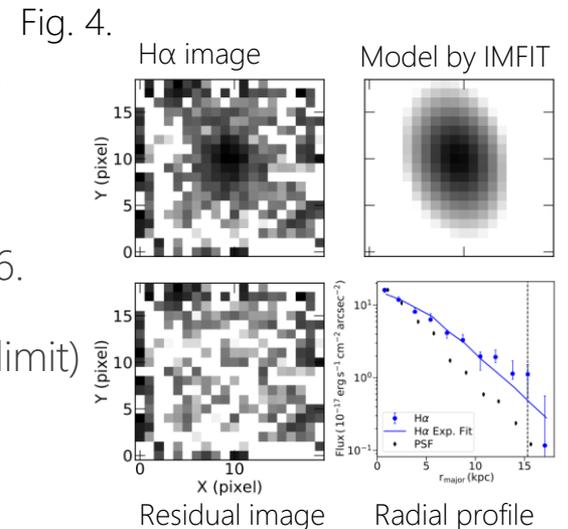
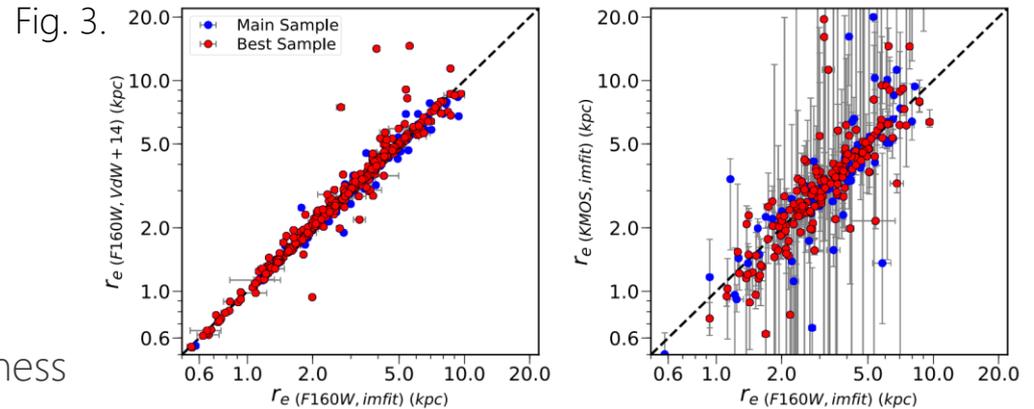
- F160W and F125W HST-image
- Free params: centroid, ellipticity, PA,  $r_e$ ,  $n_{\text{Sersic}}$ , normalizing surface brightness

### H $\alpha$ flux image with a simple exponential profile

- Centroid, ellipticity and PA are fixed to the value of continuum
- Case which are not well modelled by the exponential disk are flagged (Sec. 5.1)
- Trying with Sersic profile, confirm the trend presented in Sec. 6. are unchanged within uncertainty (For a substantial number of samples, Sersic fits hit the fitting limit)

## 4.3. Major axis profile

Elliptical annuli aligned to the galaxy's best fit ellipticity and PA



# 5. Sample

## 5.1. Flagging

Remove object with strong skyline contamination or poorly fit profiles (visual inspections)

- Atmospheric skyline residual contamination  
→ 83/457 galaxies are removed, and 101 galaxies have weaker contamination (included)
  - Close pair → 22 galaxies are removed
  - Fitting accuracy → 399/457 meet criteria (207 excellent)
    1. Magnitude of fractional residual and  $\chi^2$  value
    2. Agreement of extracted 1-D profile and best fit model
    3. IMFIT convergence
  - CANDELS F160W ellipticities  $< 0.7$  ( $i > 72.5$ )  
→ 281 galaxies for MAIN samples, 89 galaxies for BEST samples (stricter than MAIN)
- Not exclude 42 galaxies with broad lines (38 have known AGN)

# 5. Sample

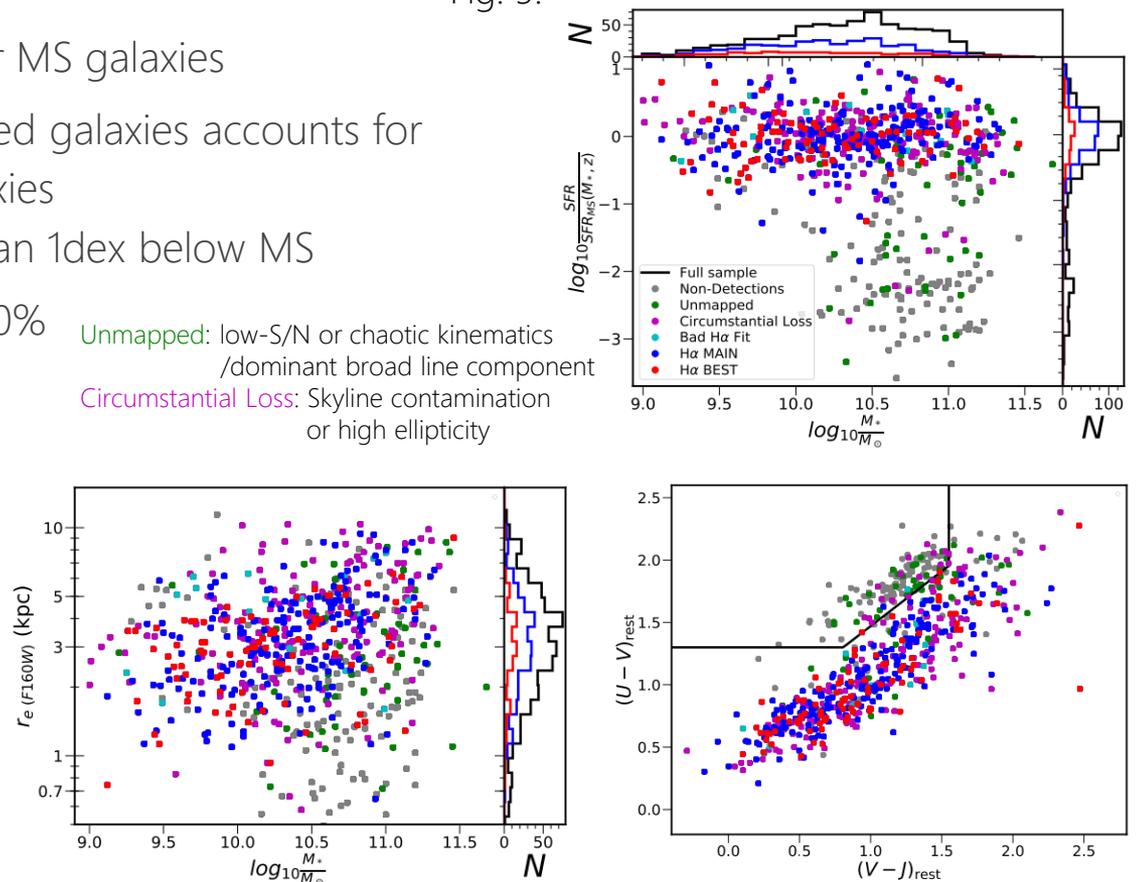
## 5.2. Sample Bias

- High H $\alpha$  detection fraction for MS galaxies
- Non-detections and Unmapped galaxies accounts for
  - 83% of UVJ passive galaxies
  - 98% of galaxies more than 1dex below MS
- Unmapped galaxies reach ~20% for  $\log(M_*/M_\odot) > 10.9$  on MS

Unmapped: low-S/N or chaotic kinematics /dominant broad line component  
 Circumstantial Loss: Skyline contamination or high ellipticity

- H $\alpha$  MAIN sample probes well into the dusty SF region in UVJ diagram
- (H $\alpha$  MAIN covers wide range of each parameter and there is no sample bias caused by flagging)
- There is no notable difference between H $\alpha$  MAIN and H $\alpha$  BEST

Fig. 5.



# 6. Results

## 6.1. H $\alpha$ size correlations with continuum size and stellar mass

Best tracer for the size of old stars  $\leftarrow$  Size of SFGs is smaller at longer wavelength

- Rest-frame 6500Å sizes converted from the observed F160W sizes :  $r_e(r6500)$ 
  - Close to the rest-frame wavelength probed by F160W
  - Close to the rest-frame wavelength of H $\alpha$

### Whether H $\alpha$ size of galaxies is better correlated with stellar size or total stellar mass ?

$\rightarrow$  Both has correlation, but that with  $r_e(r6500)$  is stronger and tighter

- Cont. size: slope =  $0.85 \pm 0.05$ ,  $\sigma = 0.15\text{dex}(43\%)$
- Stellar mass: slope =  $0.18 \pm 0.03$ ,  $\sigma = 68\%$  (Roughly consistent with Nelson+2016a)

### Importance of second parameter

- $\rightarrow$  Only continuum size is important
- $\rightarrow$  Star formation spatially tracks existing stars, but at a fixed continuum size global amount of stars has no relevance

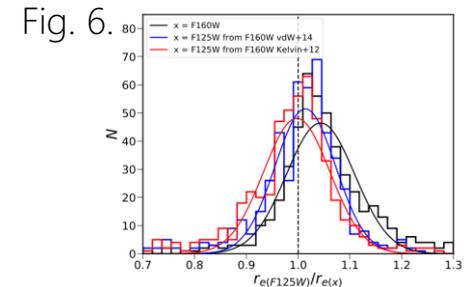
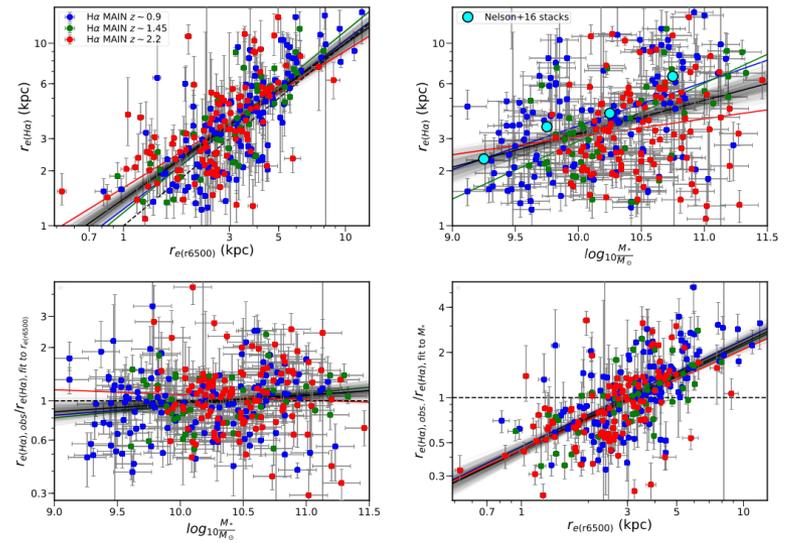


Fig. 7.



# 6. Results

## 6.1. cont'd

**Difference of correlation between redshift range** → No significant difference  
(Slope = YJ:  $0.93 \pm 0.08$ , H:  $1.00 \pm 0.11$ , K:  $0.75 \pm 0.09$ )

## H $\alpha$ size at a particular continuum size

At median continuum size of 3.32 kpc  
→ [H $\alpha$  size] =  $1.18(\text{median}), 1.26(\text{mean}) \times [\text{cont. size}]$   
(YJ:  $1.13 \pm 0.05$ , H:  $1.17 \pm 0.06$ , K:  $1.20 \pm 0.05$   
in median?)

## 6.2. Which other parameters influence H $\alpha$ size ?

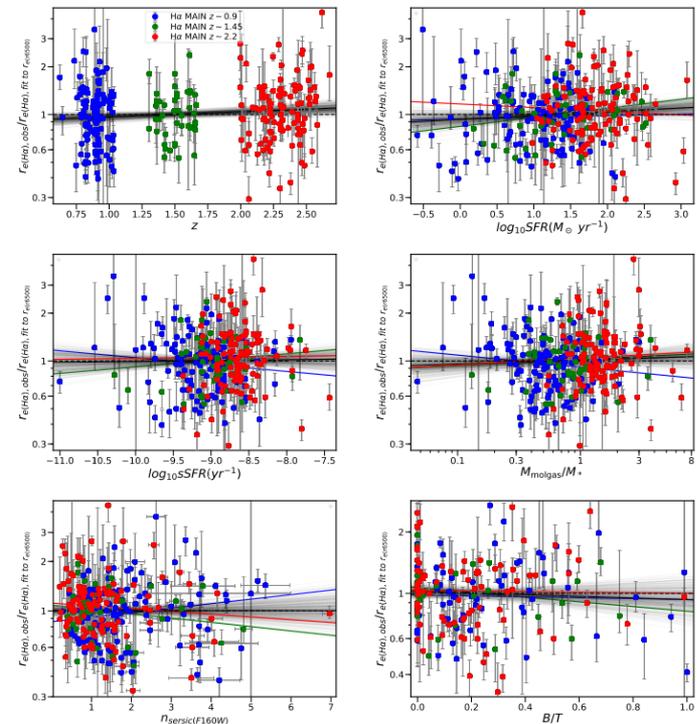
Star formation activity, Morphology  
→ No significant trend  
nor any notable decrease in scatter

Key points here especially for morphology

- Contrast with the situation in the local Universe
  - SFG without bulge: H $\alpha$  size  $\sim$  Cont. size
  - SFG with bulge: H $\alpha$  size  $>$  Cont. size

In this paper, we can see the table testing more parameters (Table 1)

Fig. 8.



# 6. Results

## 6.3. Caveats

For H $\alpha$  size,

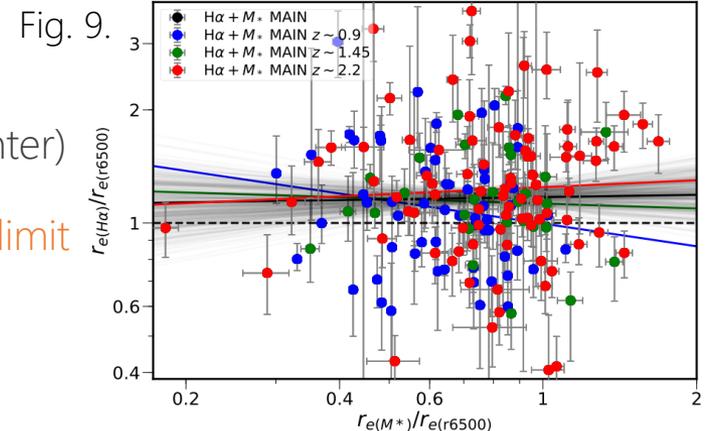
- More diffuse component and additional sources of ionization
- Obscuration by dust, especially in dense and IR bright starburst region (Tadaki+2017)

For continuum size,

- Does continuum “emission” correctly trace the stellar mass in the galaxy?
  - Ratio of half-mass size to half light size  $r_e(M_*)/r_e(\text{r6500})$  decreases for high  $n_{\text{seraic}}$  mainly because of bulge with high mass-to-luminosity ratio
  - No correlation between  $r_e(M_*)/r_e(\text{r6500})$  and  $r_e(\text{H}\alpha)/r_e(\text{r6500})$
  - With continuum light, we are tracing “disk”

For dust extinction

- Extra extinction for H $\alpha$  (Typically strong at the center)
  - Make observed H $\alpha$  size larger than true size
  - The ratio of H $\alpha$  to continuum size is an upper limit (Consider in detail in the next subsection)



# 6. Results

## 6.4. Dependence on dust

$SFR(\text{from IR phot or SED})/L_{H\alpha}$ : Dust corrected conversion factor / Dust obscuration of  $H\alpha$

$r_e(H\alpha)_{obs}/r_e(H\alpha)_{fit}$  to  $r_e(r6500)$  doesn't depend on  $A_V$ ,  
but has negative dependence on  $\log(SFR/L_{H\alpha})$

→  $A_{H\alpha}/A_V$  decreases for galaxies with larger  $H\alpha$  size

This originate in the internal geometries of dust differently affecting young and old stars in galaxies

- Li+2019: The fraction in a foreground screen increase with galactic-centric radius and rest live in HII-region  
→ Galaxies with large  $H\alpha$  disk are less subject to extra obscuration for  $H\alpha$

Fig. 11.

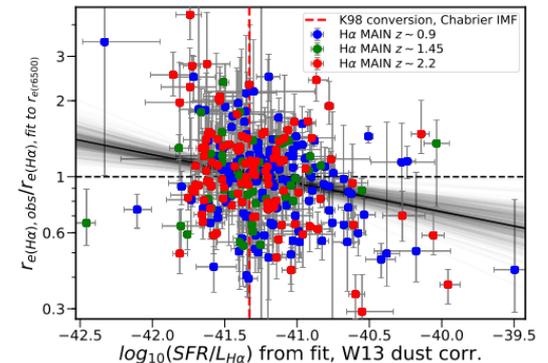
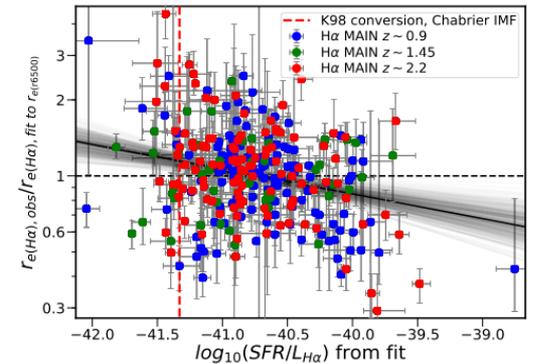
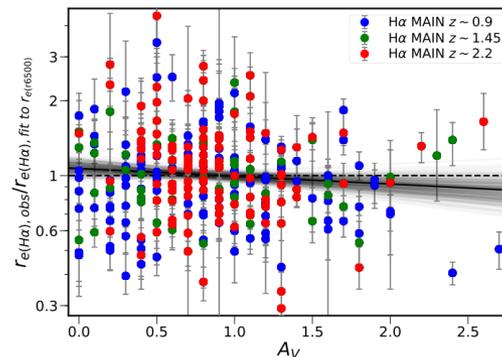
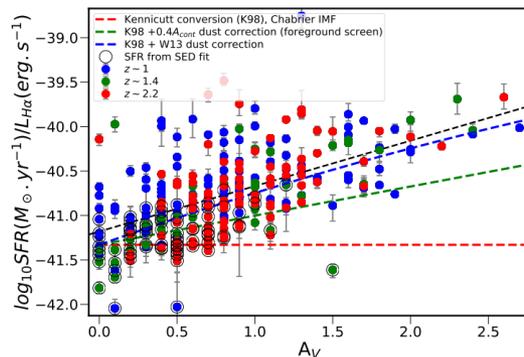


Fig. 10.



# 7. Interpretation

## 7.1. Analytic consideration

A simplified prediction for disk sizes:  $R_d \propto H(z)^{-2/3} \cdot \lambda' \cdot M_{halo}^{1/3}$  (Mo, Mao & White 1998)

Galaxy spin parameter:  $\lambda' = \lambda \cdot \frac{j_d}{m_d}$

( $\lambda$ : halo spin parameter,  $j_d, m_d$ : a fraction of halo angular momentum, halo mass in the disk)

Applying this relation to the star-forming disk,

$$\frac{R_{d,SF}}{R_{d,*}} \propto \left( \frac{H(z_{SF})}{H(z_*)} \right)^{-2/3} \cdot \frac{\lambda'(z_{SF})}{\lambda'(z_*)} \cdot \left( \frac{M_{halo,z_{SF}}}{M_{halo,z_*}} \right)^{1/3}$$

With the observation result, strong correlation of H $\alpha$  size with continuum size with small scatter

→ The stability over time of the spin parameter

Intrinsic scatter of 43%

= (short time variation of  $\lambda$ ) + (efficiency of angular momentum transfer from halo to disk)

## Expected ratio from this relation

$$R = [H(z_*)/H(z_{SF})]^{2/3} = 1.33, 1.59 \text{ for } (z_{SF} = z_{obs}, z_*) = (1, 1.5), (2, 3)$$

$$P = [M_{halo,z_{SF}}/M_{halo,z_*}]^{1/3} = 0.8, 0.7 \text{ (Fakhouri, Ma & Boylan-Kolchin 2010)}$$

$$\rightarrow R_{d,SF}/R_{d,*} \sim [1.11, 1.06] \cdot \lambda'(z_{SF})/\lambda'(z_*)$$

→ Lack evolution mean that specific angular momentum of SF material is stable over many Gyrs

# 7. Interpretation

## 7.2. A toy model for evolution in size and mass

How star-formation in galaxies lead them to evolve in the size-mass plane?

Model

- MS relation (Whitaker+2014) + log-normal scatter  $\sim 0.3$ dex (Noeske+2007)
- Mass loss from stars with FSPS code (Conroy, Gunn & White 2009)
- Size growth factor (SGF):  $r_e(SF)/r_e(M_*) = \text{const.}$
- Exponential profile
- At each step newly formed stars are generated in exponential distribution

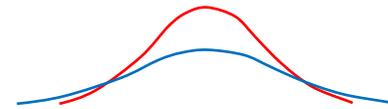
With different size growth factor ...

Goal: Explain the evolution of size-mass relation for late type galaxies from van der Wel+2014b

- $r_e(SF)/r_e(M_*) = 1.26$  explain only evolution along the size-mass relation
- Large size growth factor is required  $r_e(SF)/r_e(M_*) \sim 1.50-1.60$

Model at each steps

Existing stars  
(roughly exponential)



Formed stars with half-mass size  
= (that of existing stars)  $\times r_e(SF)/r_e(M_*)$

Fig. 12.

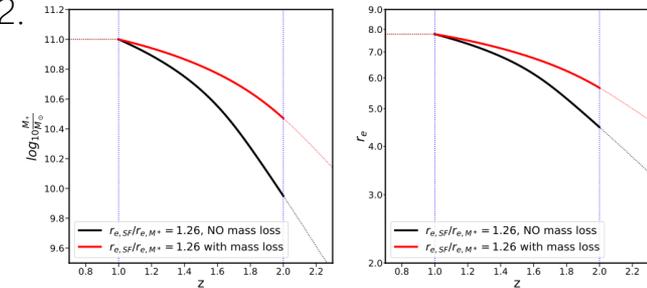
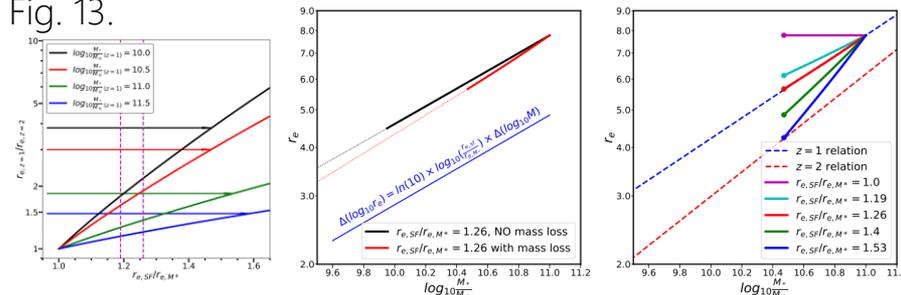


Fig. 13.



# 7. Interpretation

## 7.3. Considerations and constraints on evolution

Which previous result is the most suitable to be compared with the result of this paper?

1. van der Wel+2014 find evolution ( $\sim H(z)^{-2/3}$ ) and stellar mass dependence ( $M_*^{0.22}$ ) in *half-light size*  
↔ Suess+2019 find little dependence on stellar mass or redshift in *half-mass size*
  2. Without star formation driven size growth, there would be no age-gradient.  
↔ Larger size at shorter wavelength. The result of this paper ( $r_{H\alpha}/r_{cont}=1.26$ )
  3. Constant size growth factor  $r_e(SF)/r_e(M_*)$  keep age gradient  
↔ The lack of M/L gradient at  $z=2$  seen by Suess+2019  
We find the difference mass vs light sizes due to the difference of bulge contribution.
  4. No correlation of  $r_e(H\alpha)/r_e(r6500)$  and  $r_e(M_*)/r_e(r6500)$   
→ The difference of main driver of variation, dust attenuation and bulge contribution  
→ Continuum light and H $\alpha$  are more closely tied than mass and H $\alpha$ .
- Compare to the simpler, light-based van der Wel+2014 relation
- Cannot match the observed evolution
- Other physical processes for growth of star forming galaxies might be at play

# 7. Interpretation

## 7.4. Physical origins of galaxy size growth

If evolution of size-mass relation by van del Wel+2014 is real

→ Another form of growth not associated to star-formation

i.e.) The stellar component evolve in size due to angular momentum transfer with the surrounding material (gas and dark matter)

## Feedback for regulation and shallow evolution

Constant size growth factor

→ Halo spin parameter and the specific angular momentum transfer is stable under varying condition

→ e.g.) Regulation via feedback

Result and modelling in this paper:  $\frac{d \log(r_e)}{d \log(M_*)} \sim 0.26$

- consistent with the estimate from study of Milky-Way progenitor ( $\frac{d \log(r_e)}{d \log(M_*)} \sim 0.27$ )
- Shallower than van Dokkum+2015 ( $\sim 0.3$ ) and simulated galaxies with wind model of Hirschmann+2013 ( $\sim 0.4$ )

Efficient removal of low angular momentum material at high redshift leads to much larger size and shallower evolution

# 7. Interpretation

## 7.4. cont'd

### Quenching

Some of massive galaxies will be quenched by  $z \sim 1$

Theories for process of quenching and compactness of passive galaxies

- Galaxies with low spin parameters can become unstable and contract before quenching (Dekel & Burkert 2014)
- Galaxies reach a threshold stellar mass surface density etc. before quenching (van Dokkum+2015)
- Older galaxies with higher density and small sizes depart first from the MS (Abramson & Morishita 2019)

→ The distribution of size-mass plane is not inconsistent with such a scenario of  $\frac{d \log(r_e)}{d \log(M_*)} = 0.5$

→ "Such a strong apparent evolution can happen even if the evolution of individual galaxies is relatively weak, so long as the densest galaxies fall out of the star-forming population first and become passive."

→ More aggressive quenching is required